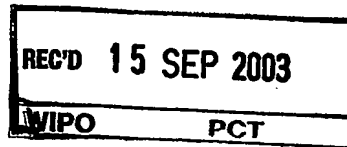




Rec'd PCT/PTO 18 FEB 2005
PCT/IB 03 / 03718
15.08.03



INVESTOR IN PEOPLE



The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

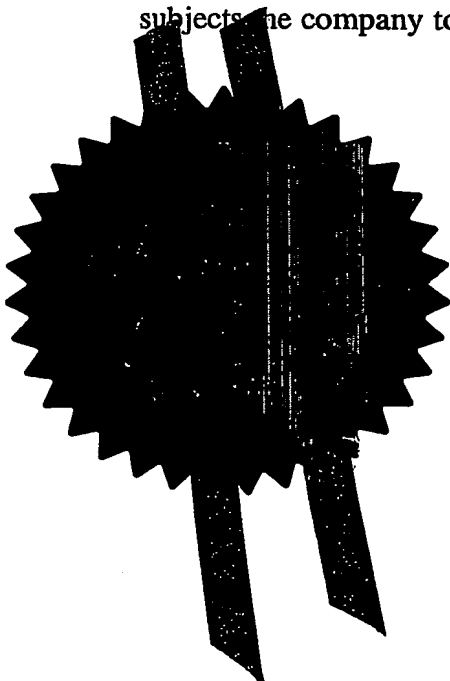
In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

PRIORITY DOCUMENT
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH
RULE 17.1(a) OR (b)

Signed *Andrewes*

Dated 20 May 2003



Patents Act 1977
(Rules 16)

THE PATENT OFFICE
M
19 AUG 2002
Patent Office

Request for grant of a patent
(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

1. Your reference **2002DE125**

2. Patent application number **0219260.7**
(The Patent Office will fill in this part)
19 AUG 2002 **19AUG02 E742018-1 C57433**
P01/7700 0.00-0219260.7

3. Full name, address and postcode of the or of each applicant (underline all surnames)
Clariant GmbH
Brüningstrasse 50
65929 Frankfurt am Main
Germany

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

Germany

4. Title of the invention **IMPROVEMENTS IN OR RELATING TO ORGANIC COMPOUNDS**

5. Name of your agent (if you have one) **CLARIANT UK LTD.**
"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)
Attn. Mr. Stephen Parkinson
Calverley Lane
Horsforth/Leeds, LS18 4RP

Patents ADP number (if you know it)

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country	Priority application number (if you know it)	Date of filing (day / month / year)

7. If this application is divided or otherwise derived from a earlier UK application, give the number and the filing date of the earlier application

Number of earlier application	Date of filing (day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer "Yes" if:
a) any applicant named in part 3 is not an inventor, or
b) there is an inventor who is not named as an applicant, or
c) any named applicant is a corporate body.
See note (d)) **Yes**

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form.
Do not count copies of the same document

Continuation sheets of this form

Description

10 ✓

Claim(s)

2 ✓

WM

Abstract

1 ✓

Drawing (s)

10. If you are also filing any of the following, state how many against each items.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature Clariant GmbH

Date August 16, 2002

ppa. Güthlein

Dr. Paul Güthlein

Prokurist

Klaus Mikulecky

Dr. Klaus Mikulecky

Authorized Signatory

12. Name and daytime telephone number of person to contact in the United Kingdom

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patent Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered "Yes" Patents Form 7/77 will need to be filed.
- Once you have filed in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

PATENTS FORM 1/77

PROCESS FOR THE PREPARATION OF STABILIZERS FOR POLYMERS

5 The instant invention relates to a process for the preparation of stabilizers for polymers, especially for polyamides.

10 The service life of polyamides is dependent on the raw materials used within the manufacturing process, the additives used in stabilizing the polymer against damage brought about by melt-processing and environmental weathering factors. A serious drawback to providing stabilization systems for polyamides lies in the fact that maximum concentration of many additives is limited. Polyamides are regarded as poor in solvating additives, especially non-polar additives having relatively low molecular weight in relation to the polyamide polymer. On the other hand, manufacturers of polyamide-based molded and extruded goods strive to include low concentrations of additives for economic reasons.

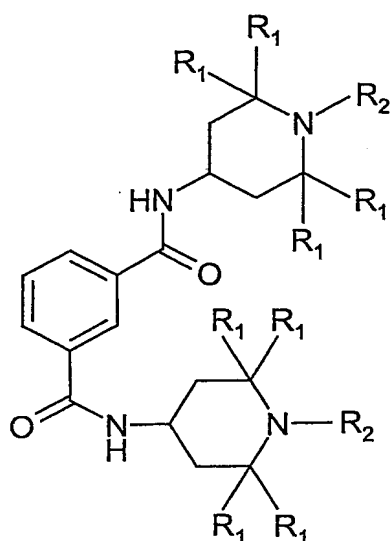
20 Some of the stabilizers or stabilizer systems which are used for stabilization of polyamide are mentioned for example in US 4,003,875 (unsymmetrical oxalic acid dianilides), US 5,045,083 (copper complexes and oxanilide UV absorbers), US 4,544,691 (oxalanilides, benzalmalonates, α -cyanocinnamates and o-hydroxyphenylbenzotriazoles), US 5,338,319 (copper complex and oxalic acid diaryl amide) or US 5,380,774 (hindered phenolic antioxidant, phosphite, hindered amine stabilizer and optionally a benzotriazole).

25 Polyamide polymers are characterized by high tensile strength, abrasion resistance, however polyamides require also stabilization against thermo-oxidative and photodegradation to sustain these properties in their long-term applications.

30 Polyamides must accept lightfast dying systems in addition. Discoloration of the matrix is regarded to be highly critical and may cause shade changes in colored articles, e.g. fibres and moldings of polyamide polymers.

Therefore further improvement in the stabilization systems of polyamide polymers is always needed.

The most powerful stabilizer generally used for the stabilization of polyamides first mentioned in WO 97/43335 can be seen in general formula (I) (definition of radicals see below)

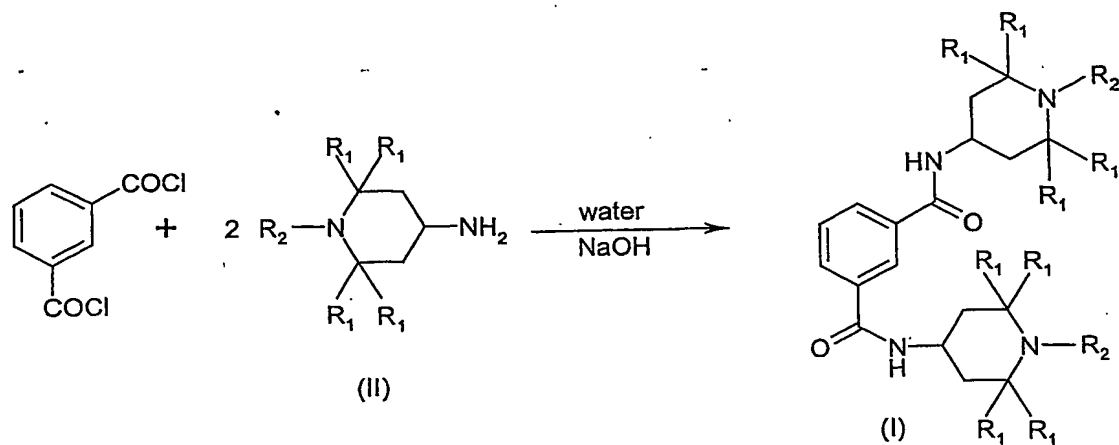


(I)

This powerful stabilizer can be added in the melt of polyamides and improves heat stability, light stability, chemical stability and dye affinity of the polyamide polymer.

In the meantime new stabilizer systems were found which combine the unique properties of (I) in synergistic combinations together with other additives. Examples therefore can be found in EP 0903372 A1 or US 6,063,843. Compound (I) or derivatives thereof can also successfully be used for the stabilization of polyester resins as can be seen in EP 1000967 A1.

Originally, stabilizers of general formula (I) were synthesized by condensation of isophthalic acid dichloride (IPC) with sterically hindered amines of general formula (II), where radicals R_1 and R_2 are defined below. Water was used as a solvent for this reaction.



For achieving acceptable yields, excess of the amine component (II) had to be used.

- 5 Amine components of general formula (II) are generally known to be poorly biodegradable.

As the excess of the amine component had to be washed out after reaction with water, waste water was produced which cannot be treated effectively in conventional sewage plants.

The yields which could be achieved by the above mentioned process were limited, due to hydrolysis with water which occurred during reaction phase.

- 15 Thus this process known from the prior art could not be transferred to a regular production plant, due to the mentioned disadvantages.

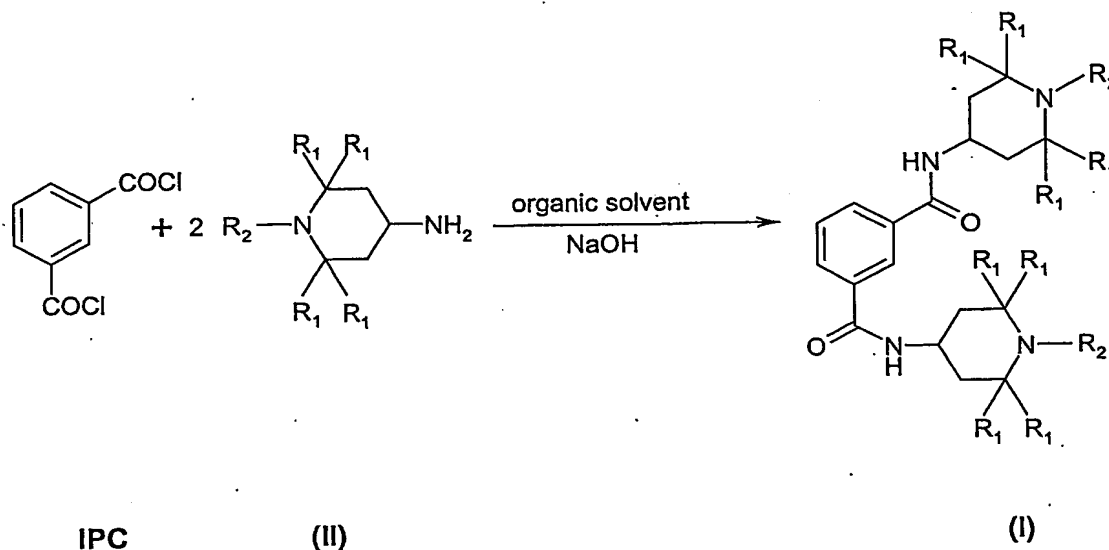
GENERAL DESCRIPTION OF THE INVENTION

20

It has now been found that the use of certain organic solvents together with an optimized combination of pressure and temperature leads to a surprising increase of the overall yield of more than 10 % and to less environmental problems by a reduced organic load of the waste water.

25

Therefore object of the instant invention is a process for the preparation of stabilizers of general formula (I) by condensation of isophthalic acid dichloride (IPC) with sterically hindered amines of general formula (II),



wherein R_1 is H, C_6 -cycloalkyl or C_1 - C_4 -alkyl, and R_2 is H, C_1 - C_5 -alkyl, or a C_1 - C_{10} -alkyloxy-group, characterized in that organic solvents or mixtures thereof with water and an optimized combination of pressure and temperature are used during the whole process.

Preferably R_1 is H or C_1 - C_2 -alkyl and R_2 is H or C_1 - C_2 -alkyl, most preferably R_1 is methyl and R_2 is H.

The reaction between IPC and component (II) is carried out by stirring IPC into a solution containing compound (II), 30-70 %, preferably 40-60 %, most preferably 50 % by weight of aqueous caustic soda, organic solvent and water.

One key step of the invention is the use of organic solvents or mixtures of organic solvents with water instead of water alone as in the prior art. The solvents used are organic aromatic hydrocarbons, preferably xylene, or aliphatic alcohols, preferably ethanol or isopropanol. Most preferably isopropanol or mixtures of isopropanol with water are used.

The preferred ratio of isopropanol to water is from 60-80 % isopropanol to 20-40 % water; most preferably the ratio is 70 % isopropanol and 30 % water by volume.

By using mentioned solvents or mixtures of these solvents with water, the yield could be improved from 85 % to 96 % of theory; that means that hydrolysis-reaction could be minimized.

- 5 Also the excess of the bio-toxical amine component (II) could be avoided without reducing yield. The instant process uses a molar ratio of IPC and the amine component (II) of 1 mole IPC to 1.80-2.00 mole of (II), preferably 1.80-1.90 mole of (II), most preferably a molar ratio of 1 mole IPC to 1.85 mole of (II) is applied. This leads to a minimum organic load of waste water, which has significant advantages in respect to
10 the mentioned biotoxical properties of component (II).

- However the instant solvent system alone cannot eliminate completely the problems of the process of the prior art. Even by using mixtures of water and an organic solvent at ambient pressure, (I) is isolated as small, sticky, cake-like particles, which cannot be
15 isolated easily on conventional filtration aggregates like centrifuges or suction filters. As consequence big amounts of water had to be applied in order to wash effectively and to reach acceptable product quality.

- Therefore a further key of this invention is the instant combination of reaction
20 conditions like temperature and pressure. Thereby as a further advantage filtration properties of the crystals of the compound of general formula (I) could be improved significantly.

- Addition of IPC to the amine (II) in the solvent/water/NaOH solution is performed at
25 temperatures from 20-40°C, preferably 25-35°C, most preferably at 30°C.

- After addition of IPC has completed, the reaction mixture is stirred for 50 to 70 minutes, preferably for one hour at the same temperature. The reaction mixture is then heated in an autoclave to 90 - 110 °C, preferably to 100°C and to a system pressure of 1.3 to 1.7
30 bars, preferably of 1.5 bars. Under these conditions the reaction product is completely dissolved in the solvent and 2 phases can easily be separated.

- The aqueous layer which contains most of the salts, excess of caustic and some residues of isopropanole, can be easily separated and – after redistillation of the
35 residual solvent – be further treated in a conventional sewage plant.

After addition of water, the organic layer is heated to a temperature of 130-140°C and a system pressure of 3.0-4.0 bars until all of the solid has dissolved. The reaction mixture now is cooled to ambient temperature and large crystals of products of general formula (I) are formed, which can be isolated by using conventional filter aggregates.

5

By using the instant process, the yield of the reaction could be raised to 96 %, waste water consumption and load could be reduced significantly and the filtration properties of the reaction product formed could be optimized, which leads to maximum output of products of formula (I) in a regular production plant. The instant process therefore is ecologically advantageous.

10

The instant process leads to stabilizers of general formula (I), which can also be used in stabilizer systems as indicated in US 6,063,843. Also further additives can be added according to different applications. These further additives are also described in US

15

6,063,843.

EXAMPLES

- The given examples shall illustrate the advantage of the novel process for preparation of stabilizers of general formula (I). Examples are used, where radicals R_1 = methyl, and R_2 = H. The stabilizer of formula (I) with R_1 = methyl, and R_2 = H is generally well-known as a powerful stabilizer for polyamide polymers and commercialized by Clariant under the brand name Nylostab® S-EED®.

EXAMPLE 1

- 10 In a 4-necked 2 l flask with stirrer, dropping funnel, thermometer and pH electrode
 150.5 g of 2,2,6,6-Tetramethylpiperidin-4-yl-amine (98.7 %; 0.95 mole) and
 85.2 g of 50% NaOH solution (1.07 mole) are added to a mixture of
 470.0 g of isopropanol and
 260.0 g of demin. water.
- 15 Under stirring
 102.1 g of molten isophthalic acid chloride (99.4 %; 0.50 mole) are added.

- The temperature of the reaction mixture is held at 30°C during dosage of IPC by cooling with an ice bath. The reaction mixture is stirred for another 1 hour under the same conditions (temperature / pH-control). A white suspension is being formed during this reaction phase. The reaction mixture is transferred to a 3 l laboratory autoclave with stirrer and internal thermometer and the mixture is heated to a temperature of T_I = 100°C. The resulting pressure of the system is about 1.5 bar and the solid is being dissolved completely.

- 25 Two liquid phases are being formed:
- Lower aqueous phase containing salts and aqueous NaOH and some isopropanole
 - Upper organic phase containing desired product solved in isopropanole;

- 30 The lower phase is removed under pressure and
 950.0 g of demin. water is added to the reaction mixture.

- The mixture is further heated up to T_I = 130° C and a corresponding system pressure of about P_I = 3.3 bar until all of the solid has completely dissolved. The suspension is allowed to cool down to ambient temperature T_I ≈ 30°C. The resulting white suspension is passed through a filter aggregate with metal screen and the reaction

product is washed with 715.0 g of demin. water to remove chloride and other impurities. The reaction product is heated in a vacuum drying oven until constancy of weight. The yield of reaction product of general formula (I) with $R_1 = \text{methyl}$, and $R_2 = \text{H}$ is 200.0 g or 95.3 % of theoretical value (yield based on minor component TAD).

5

EXAMPLE 2 (Comparison Example):

In a 4-necked 2 l flask with stirrer, dropping funnel, thermometer and pH electrode

161.2 g of 2,2,6,6-Tetramethylpiperidin-4-yl-amine (98.7 %; 1.02 mole) and
10 93.8 g crushed ice
are cooled to a temperature of 7°C.

Under stirring

102.1 g of molten isophthalic acid chloride (99.4 %; 0.50 mole) are added.
15 The temperature of the reaction mixture is held below 10°C during dosage of IPC. After
addition of IPC the sticky mass is diluted with
450.0 g of demin. water and
133.3 g of 30 % NaOH (1.00 mole) is added.

20 During addition of NaOH

300.0 g of demin. water is added to dilute the reaction mass.

After 3 h of stirring at 25°C the sticky suspension is filtered on a laboratory suction filter
and washed until the pH reaches a value of 10 (in total 2400 g of demin. water are
25 needed).

The filter cake again is suspended in

600.0 g of demin. water, stirred for 30 min and again is isolated on a
laboratory suction filter and washed with 700.0 g of demin. water.
30

The reaction product is heated in a vacuum drying oven until constancy of weight. The
yield of reaction product of general formula (I) with $R_1 = \text{methyl}$, and $R_2 = \text{H}$ is 184.8 g
or 83.6 % based on IPC.

35

TABLE 1

Main Results of Examples 1 (Novel Process) and Comparison Example 2 (Old Process):

	Example 1	Example 2
Amount (TAD*) g/batch	150.5	161.2
Yield of (I) g; %	200.0; 95.3	184.8; 83.6
Filtrate 1 amount (g)	524.0	438.6
COD** mg/l	12000	45000
TAD filtrate 1 (g)	none	0.5
Waste Water 1 amount (g)	328.5	2363
COD** mg/l	5200	5000
TAD in waste water 1 (g)	none	0.03
Filtrate 2 amount (g)	none	690
COD** mg/l	none	1900
TAD filtrate 2 (g)	none	0.002
Waste Water 2 amount (g)	none	2800
COD** mg/l	none	1300
TAD in waste water 2 (g)	none	none

5

* TAD: 2,2,6,6-Tetramethylpiperidin-4-yl-amine

** COD: Chemical oxygen demand: oxygen equ. needed for oxidation of organic load in waste water

- 10 As can be seen in table 1, the amount of TAD/batch needed for reaction in example 1 is significantly lower than in example 2. Nevertheless, the isolated yield of reaction product of formula (I) is about 12 % higher than in example 2.

- 15 The amount of waste water needed as well as the amount of residual TAD in waste water is much lower in comparison to example 2 as can be seen in table 1.

Therefore the novel inventive process not only means a progress in respect to the advantageous reaction conditions in example 1, but also in respect to the waste water consumption and load being produced.

EXAMPLE 3

The filtration properties of crystals of the reaction product (I) with radicals R_1 = méthyl, and R_2 = H of examples 1 and 2 were tested on a standard filtration unit. This unit consists of a steel pressure filter ($l = 0.5$ m; $d = 0.05$ m; Co. BHS; metal screen porosity 60 μ m). The filter unit is filled with a standard volume (800 ml) of the product suspension of (I). The filter unit is closed and a pressure of 1 bar N_2 is applied. The filtration time is measured as a function of the volumes of filtrate being produced during filtration. A good filtration time correlates with good filtration properties of the suspension:

TABLE 2

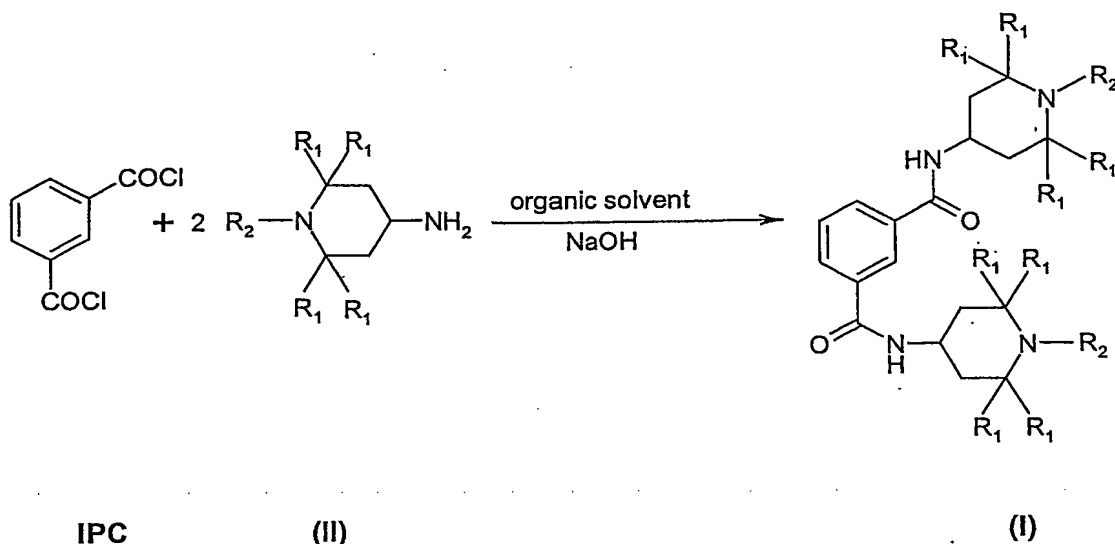
Filtration properties of the product suspension of (I) on a standard BHS filter-unit

Filtrate	time (s)			
	100 ml	200 ml	300 ml	400 ml
example 1	3	11	22	35
example 2	40	175	370	470

As can be seen in table 2, the filtration properties of the suspension of example 1 are much better compared to the filtration properties of suspension in example 2. As this results correlate with the filtration properties on a regular production filter aggregate, a much higher capacity can be achieved by using the new production process.

CLAIMS

1. Process for the preparation of stabilizers of general formula (I) by condensation of isophthalic acid dichloride (IPC) with sterically hindered amines of general formula (II),



wherein R_1 is H, C_6 -cycloalkyl or C_1 - C_4 -alkyl, and R_2 is H, C_1 - C_5 -alkyl, or a C_1 - C_{10} -alkyloxy-group, characterized in that organic solvents or mixtures thereof with water and an optimized combination of pressure and temperature are used during the whole process.

2. Process according to claim 1 characterized in that R_1 is H or C_1 - C_2 -alkyl and R_2 is H or C_1 - C_2 -alkyl.
3. Process according to claim 1 characterized in that R_1 is methyl and R_2 is H.
4. Process according to any of claims 1 to 3 characterized in that the molar ratio of IPC to the amine (II) is from 1 to 1.8 - 2.0.
5. Process according to any of claims 1 to 4 characterized in that the solvent is xylene, ethanol or isopropanol or a mixture of 60 - 80 % isopropanol and 20 - 40 % water by volume.

6. Process according to any of claims 1 to 5 characterized in that the IPC is added to the amine (II) in the solvent/water/NaOH solution at a temperature of 25 to 35°C and that the reaction mixture is stirred for 50 to 70 minutes at the same temperature.
- 5 7. Process according to claim 6 characterized in that the reaction mixture is then heated in an autoclave to a temperature of 90 - 110 °C and to a system pressure of 1.3 - 1.7 bars.
- 10 8. Process according to claim 7 characterized in that a phase separation takes place and that the organic phase, after addition of water, is heated to a temperature of 130 - 140 °C and to a pressure of 3.0 - 4.0 bars.
- 15 9. Process according to claim 8 characterized in that after cooling to ambient temperature the compound of formula (I) is isolated.

ABSTRACT

5 The instant invention relates to a process for the preparation of stabilizers for polymers, especially for polyamides, by condensation of isophthalic acid dichloride (IPC) with sterically hindered amines of the type tetraalkylpiperidine. By using organic solvents or mixtures of organic solvents with water and by an optimized combination of temperature and pressure the yield is much higher than by using water alone. The organic load of the waste water could be significantly reduced.

10

DD/mac - 16.08.02